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## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

he application of

Docket No: Q61053

TAKAYUKI NIHEI

Appln. No.: 09/700,973

Group Art Unit: 2121

Confirmation No.: 3301

Examiner: Not yet assigned

Filed: November 21, 2000

For: A PERIPHERAL UNIT FOR A PROGRAMMABLE CONTROLLER

## UNDER 37 C.F.R. §§ 1.97 and 1.98 RECEIVED

Commissioner for Patents Washington, D.C. 20231

NOV 1 8 2002

Technology Center 2100

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

However, since a statement can be made, a Statement is being filed so that in the event an Office action has issued but has not yet been received by the undersigned, the Examiner will proceed to consider this Information Disclosure Statement.

## INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/700,973

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants submit the following explanation:

(1) A concise explanation for each foreign language document is contained in the attached Korean Office Action which cites these documents. A translation of the Korean Office Action is enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Richard C. Turner Registration No. 29,710

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

Date: November 15, 2002



In re application of

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A PERIPHERAL UNIT FOR A PROGRAMMABLE CONTROLLER RECEIVED For:

STATEMENT UNDER 37 C.F.R. § 1.97(e)

NOV 1 8 2002

Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213

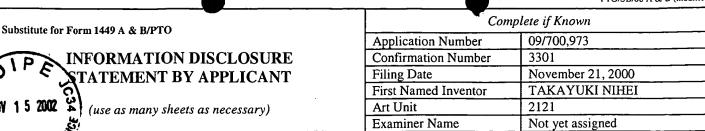
Telephone: (202) 293-7060 Facsimile: (202) 293-7860

Date: November 15, 2002

Richard C. Turner

Registration No. 29,710

Q61053



Attorney Docket Number

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number		Dublication Date			
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US					
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Examiner Initials*	Cite No.¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
545,		KP	92-21966		12/19/92		YES
3/		JP	06-175844		06/24/94		Abstract
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazi journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where publish				
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.